

# Elliptic Curves

Chris Dare, Stephen Timmel

Virginia Polytechnic Institute and State University

July 20, 2019

# Sections

I - Canonical Bundle

II - Characterization of Elliptic Curve

III - Riemann-Roch

IV - Riemann-Hurwitz

V - Properties of Elliptic Curves

I - Canonical  
Bundle

II -  
Characterization  
of Elliptic Curve

III -  
Riemann-Roch

IV -  
Riemann-Hurwitz

V - Properties of  
Elliptic Curves

I - Canonical  
Bundle

II -  
Characterization  
of Elliptic Curve

III -  
Riemann-Roch

IV -  
Riemann-Hurwitz

V - Properties of  
Elliptic Curves

# I - Canonical Bundle

# I - Canonical Bundle

## Module of Rel. Differentials

Let  $f : \text{Spec } R \rightarrow \text{Spec } S$  be a morphism of affine schemes and define the  $R$ -module  $\Omega_{R/S}$  to be the free  $R$ -module generated by  $\{dr : r \in R\}$  modulo the relations

- (i)  $d(r_1 + r_2) = dr_1 + dr_2$  for  $r_1, r_2 \in R$
- (ii) **(Leibniz Rule)**  $d(r_1 r_2) = r_1 dr_2 + dr_1 r_2$  for  $r_1, r_2 \in R$
- (iii)  $ds = 0$  for all  $s \in S$

# I - Canonical Bundle

*More generally:*

## Sheaf of Rel. Differentials

Let  $f : X \rightarrow Y$  be a morphism of schemes. Let

$\Delta : X \rightarrow X \times_Y X$  be the diagonal morphism and  $\mathcal{I}$  its ideal sheaf. Then the **Sheaf of Relative Differentials** is the sheaf

$$\Omega_{X/Y} = \Delta^*(\mathcal{I}/\mathcal{I}^2)$$

**Note:** The Module of Relative Differentials and Sheaf of Relative Differentials are the same on affine open sets.

I - Canonical  
Bundle

II -  
Characterization  
of Elliptic Curve

III -  
Riemann-Roch

IV -  
Riemann-Hurwitz

V - Properties of  
Elliptic Curves

# I - Canonical Bundle

## Tangent + Canonical Bundle

Let  $X$  be a smooth  $n$ -dimensional scheme, and suppose  $X$  is smooth (i.e.  $\Omega_{X/k}$  is locally free of rank  $n$ ). We define the **tangent bundle**  $\mathcal{T}_X = \Omega_{X/k}^\vee$  and the **canonical bundle**  $\omega_X = \bigwedge^n \Omega_{X/k}$ .

I - Canonical  
BundleII -  
Characterization  
of Elliptic CurveIII -  
Riemann-RochIV -  
Riemann-HurwitzV - Properties of  
Elliptic Curves

# I - Canonical Bundle

## Lemma

Let  $X = Z(f)$  be a smooth hyper-surface of degree  $d$  in  $\mathbb{P}^n$ .  
Then the cotangent bundle  $\Omega_X$  is determined by the short exact sequence

$$0 \rightarrow \mathcal{O}_X(-d) \rightarrow i^* \Omega_{\mathbb{P}^n/k} \xrightarrow{i^*} \Omega_{X/k} \rightarrow 0$$

The tangent bundle  $\mathcal{T}_X$  is determined by the short exact sequence

$$0 \rightarrow \mathcal{T}_X \rightarrow i^* \mathcal{T}_{\mathbb{P}^n} \rightarrow \mathcal{O}_X(d) \rightarrow 0$$

I - Canonical  
BundleII -  
Characterization  
of Elliptic CurveIII -  
Riemann-RochIV -  
Riemann-HurwitzV - Properties of  
Elliptic Curves

# I - Canonical Bundle

## Idea of Proof

- *The first map is given by  $\phi \mapsto d(f\phi)$ . If  $d(f\phi) = 0$  then  $fd\phi = \phi df \Rightarrow f$  is a factor of  $\phi \Rightarrow \phi \equiv 0$  on  $\mathcal{O}_X(-d)$ .  $i^*$  is known to be surj. by previous examples. Since  $f = 0$  on  $X$  we know  $\ker(\text{first map}) = \text{im } i^*$ .*



# I - Canonical Bundle

## Idea of Proof

- *The first map is given by  $\phi \mapsto d(f\phi)$ . If  $d(f\phi) = 0$  then  $fd\phi = \phi df \Rightarrow f$  is a factor of  $\phi \Rightarrow \phi \equiv 0$  on  $\mathcal{O}_X(-d)$ .  $i^*$  is known to be surj. by previous examples. Since  $f = 0$  on  $X$  we know  $\ker(\text{first map}) = \text{im } i^*$ .*
- *Taking duals gives second short exact sequence.*

# I - Canonical Bundle

Recall the following lemma from [2]:

## Lemma

*Let  $X$  be a smooth curve. Then there is an isomorphism of Abelian groups*

$$\{\text{Line bundles } \mathcal{L} \text{ on } X\} \leftrightarrow \text{Pic } X$$

# I - Canonical Bundle

Using previous lemma

- One commonly refers to  $K_X$  as the **canonical divisor** of  $\omega_X$ , mapped to under this isomorphism

# I - Canonical Bundle

Using previous lemma

- One commonly refers to  $K_X$  as the **canonical divisor** of  $\omega_X$ , mapped to under this isomorphism
- We define the geometric genus  $p_g$  to be  $\dim_k \Gamma(X, \omega_X)$

I - Canonical  
Bundle

II -  
Characterization  
of Elliptic Curve

III -  
Riemann-Roch

IV -  
Riemann-Hurwitz

V - Properties of  
Elliptic Curves

# I - Canonical Bundle

## Normal Bundle

Let  $Y \subset X$  be an irreducible closed subscheme defined by sheaf of ideals  $\mathcal{I}$ . If  $Y$  is non-singular,  $\mathcal{I}/\mathcal{I}^2$  is locally free and we refer to

$$\mathcal{N}_{Y/X} = (\mathcal{I}/\mathcal{I}^2)^\vee = \text{Hom}_{\mathcal{O}_Y}(\mathcal{I}/\mathcal{I}^2, \mathcal{O}_Y)$$

as the **Normal Bundle** [3]

I - Canonical  
Bundle

II -  
Characterization  
of Elliptic Curve

III -  
Riemann-Roch

IV -  
Riemann-Hurwitz

V - Properties of  
Elliptic Curves

# I - Canonical Bundle

## Adjunction Formula

There is an exact sequence

$$0 \rightarrow \mathcal{I}/\mathcal{I}^2 \xrightarrow{\delta} \Omega_{X/k} \otimes \mathcal{O}_Y \rightarrow \Omega_{Y/k} \rightarrow 0$$

where  $\delta$  sends germ of function to germ of differential.  
By taking dual,

$$0 \rightarrow \mathcal{T}_Y \rightarrow \mathcal{T}_X \otimes \mathcal{O}_Y \rightarrow \mathcal{N}_{Y/X} \rightarrow 0$$

I - Canonical  
Bundle

II -  
Characterization  
of Elliptic Curve

III -  
Riemann-Roch

IV -  
Riemann-Hurwitz

V - Properties of  
Elliptic Curves

# I - Canonical Bundle

## Adjunction Formula

Taking top dimensional powers,

$$\bigwedge^n \mathcal{T}_X \otimes \mathcal{O}_Y \simeq \bigwedge^n \mathcal{T}_Y \otimes \mathcal{N}_{Y/X}$$

But dual commutes with exterior powers, so

$$\bigwedge^n \mathcal{T}_Y \simeq \bigwedge^n \mathcal{T}_X \otimes \mathcal{O}_Y \otimes \mathcal{I}/\mathcal{I}^2 \quad (1)$$

I - Canonical  
Bundle

II -  
Characterization  
of Elliptic Curve

III -  
Riemann-Roch

IV -  
Riemann-Hurwitz

V - Properties of  
Elliptic Curves

# I - Canonical Bundle

## Adjunction Formula

If  $\mathcal{L}$  is invertible sheaf on  $X$  then  $\mathcal{I}_Y \simeq \mathcal{L}^{-1}$  so

$$\mathcal{I}/\mathcal{I}^2 \simeq \mathcal{L}^{-1} \otimes \mathcal{O}_Y \Rightarrow \mathcal{N}_{Y/X} \simeq \mathcal{L} \otimes \mathcal{O}_Y$$

Taking duals in (1) gives adjunction formula

$$\omega_Y \simeq \omega_X \otimes \mathcal{N}_{Y/X}$$

I - Canonical  
BundleII -  
Characterization  
of Elliptic CurveIII -  
Riemann-RochIV -  
Riemann-HurwitzV - Properties of  
Elliptic Curves



# I - Canonical Bundle

From 3264 [1]:

## Corollary

*If  $Y \subset X$  is a non-singular curve in a complete surface  $X$  then*

$$\deg K_Y = \deg ((K_X + [Y])[Y])$$

# I - Canonical Bundle

## Example

- Take  $X = \mathbb{P}^n$  and  $U_i = \{x_i \neq 0\}$ . If  $X_0, \dots, X_n$  coordinates for  $\mathbb{P}^n$ ,  $x_k = \frac{X_k}{X_i}$  on  $U_i$  (for  $k \neq i$ ), then top dimensional form  $\omega|_{U_i}$  is

$$\omega|_{U_i} = dx_0 \wedge \cdots \wedge dx_n$$

I - Canonical  
BundleII -  
Characterization  
of Elliptic CurveIII -  
Riemann-RochIV -  
Riemann-HurwitzV - Properties of  
Elliptic Curves

# I - Canonical Bundle

## Example

- Take  $X = \mathbb{P}^n$  and  $U_i = \{x_i \neq 0\}$ . If  $X_0, \dots, X_n$  coordinates for  $\mathbb{P}^n$ ,  $x_k = \frac{X_k}{X_i}$  on  $U_i$  (for  $k \neq i$ ), then top dimensional form  $\omega|_{U_i}$  is

$$\omega|_{U_i} = dx_0 \wedge \cdots \wedge dx_n$$

- If  $y_k = \frac{X_k}{X_j}$  on  $U_j$ , we have transition functions

$$g_{i,j}(x_k) = \begin{cases} y_k/y_i & k \neq j \\ 1/y_i & k = j \end{cases}$$

# I - Canonical Bundle

## Example

- Gives differential

$$dg_{i,j}(x_k) = \begin{cases} \frac{1}{y_i} dy_k - \frac{y_k}{y_i^2} dy_i & k \neq j \\ \frac{-1}{y_i^2} dy_i & k = j \end{cases}$$

I - Canonical  
BundleII -  
Characterization  
of Elliptic CurveIII -  
Riemann-RochIV -  
Riemann-HurwitzV - Properties of  
Elliptic Curves

# I - Canonical Bundle

## Example

- Gives differential

$$dg_{i,j}(x_k) = \begin{cases} \frac{1}{y_i} dy_k - \frac{y_k}{y_i^2} dy_i & k \neq j \\ \frac{-1}{y_i^2} dy_i & k = j \end{cases}$$

- Gives pushforward

$$\begin{aligned} g^*(\omega|_{U_i}) &= g^*(dx_1 \wedge \cdots \wedge dx_n) \\ &= \frac{(-1)^n}{y_i^{n+1}} dy_1 \wedge \cdots \wedge dy_n \end{aligned}$$

I - Canonical  
BundleII -  
Characterization  
of Elliptic CurveIII -  
Riemann-RochIV -  
Riemann-HurwitzV - Properties of  
Elliptic Curves

# I - Canonical Bundle

## Example

- If  $H = Z(X_i) \subset X = \mathbb{P}^n$  is any hyperplane, we have

$$\text{Div}(\omega) = (-n - 1)H$$

and

$$K_{\mathbb{P}^n} = (-n - 1)\zeta$$

where  $\zeta \in A^1(\mathbb{P}^n)$  is class of hyperplane, and lastly

$$\omega_{\mathbb{P}^n} \simeq \mathcal{O}_{\mathbb{P}^n}(-n - 1)$$

I - Canonical  
Bundle

II -  
Characterization  
of Elliptic Curve

III -  
Riemann-Roch

IV -  
Riemann-Hurwitz

V - Properties of  
Elliptic Curves

# I - Canonical Bundle

Alternatively: [3]

- For  $X = \mathbb{P}^n$  and  $Y = \text{Spec } A$ , Euler's exact sequence is

$$0 \rightarrow \Omega_{X/Y} \rightarrow \mathcal{O}_X(-1)^{\oplus n+1} \rightarrow \mathcal{O}_X \rightarrow 0$$

I - Canonical  
Bundle

II -  
Characterization  
of Elliptic Curve

III -  
Riemann-Roch

IV -  
Riemann-Hurwitz

V - Properties of  
Elliptic Curves

# I - Canonical Bundle

Alternatively: [3]

- For  $X = \mathbb{P}^n$  and  $Y = \text{Spec } A$ , Euler's exact sequence is

$$0 \rightarrow \Omega_{X/Y} \rightarrow \mathcal{O}_X(-1)^{\oplus n+1} \rightarrow \mathcal{O}_X \rightarrow 0$$

- Taking dual gives us

$$0 \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_X(1)^{\oplus n+1} \rightarrow \mathcal{T}_X \rightarrow 0$$

since  $\omega_X = \bigwedge^{n+1} \Omega_{X/Y}$ , we take  $n + 1$  exterior product of first sequence to give us isomorphism  $\omega_{\mathbb{P}^n} \simeq \mathcal{O}_{\mathbb{P}^n}(-n - 1)$

I - Canonical  
Bundle

II -  
Characterization  
of Elliptic Curve

III -  
Riemann-Roch

IV -  
Riemann-Hurwitz

V - Properties of  
Elliptic Curves



I - Canonical  
Bundle

II -  
Characterization  
of Elliptic Curve

III -  
Riemann-Roch

IV -  
Riemann-Hurwitz

V - Properties of  
Elliptic Curves

## II - Characterization of Elliptic Curve

# II - Characterization of Elliptic Curve

## Definition (Elliptic Curve)

A **curve** over a field  $k$  is an integral scheme  $C$  of finite type with  $\dim C = 1$ .

We say that  $C$  is an **elliptic curve** if  $\deg C = 3$ .

- In particular, we consider elliptic plane curves  $C \subset \mathbb{P}^2$

# II - Characterization of Elliptic Curve

## Canonical Bundle of Elliptic Curve

- Adjunction Formula: for a non-singular irreducible closed subscheme  $Y \subset X$  of codimension 1, have

$$\omega_Y \simeq \omega_X \otimes \mathcal{N}_{Y/X} \simeq \omega_X \otimes \mathcal{O}_X(Y)|_Y$$

I - Canonical  
BundleII -  
Characterization  
of Elliptic CurveIII -  
Riemann-RochIV -  
Riemann-HurwitzV - Properties of  
Elliptic Curves

# II - Characterization of Elliptic Curve

## Canonical Bundle of Elliptic Curve

- Adjunction Formula: for a non-singular irreducible closed subscheme  $Y \subset X$  of codimension 1, have

$$\omega_Y \simeq \omega_X \otimes \mathcal{N}_{Y/X} \simeq \omega_X \otimes \mathcal{O}_X(Y)|_Y$$

- [3] When  $X = \mathbb{P}^n$  ( $n \geq 2$ ) and  $Y$  is non-singular hypersurface of degree  $d$ ,

$$\omega_Y \simeq \omega_{\mathbb{P}^n}(Y)|_Y = \mathcal{O}_Y(d - n - 1)$$

I - Canonical  
BundleII -  
Characterization  
of Elliptic CurveIII -  
Riemann-RochIV -  
Riemann-HurwitzV - Properties of  
Elliptic Curves

# II - Characterization of Elliptic Curve

## Canonical Bundle of Elliptic Curve

Since any elliptic plane curve  $C \subset \mathbb{P}^2$  has  $d = \deg C = 3$  then

$$\omega_C \simeq \mathcal{O}_C$$

and

$$p_g(C) = \dim \Gamma(C, \omega_C) = \dim \Gamma(C, \mathcal{O}_C) = 1$$

# II - Characterization of Elliptic Curve

## Application to Physics

- A separated, smooth scheme  $X$  of finite type is said to be **Calabi-Yau** if

$$c_1(\mathcal{T}_X) = 0 \Leftrightarrow \omega_X \simeq \mathcal{O}_X$$

# II - Characterization of Elliptic Curve

## Application to Physics

- A separated, smooth scheme  $X$  of finite type is said to be **Calabi-Yau** if

$$c_1(\mathcal{T}_X) = 0 \Leftrightarrow \omega_X \simeq \mathcal{O}_X$$

- The only complex Calabi-Yau 1-folds are elliptic curves

# II - Characterization of Elliptic Curve

## First Chern Class of Curve

Let  $X \subset \mathbb{P}^2$  be a curve and  $\mathcal{E} = \mathcal{L}(D)$  be the invertible sheaf associated to some divisor  $D$

- By definition we have

$$\Omega_{X/k} = \mathcal{L}(K_X) \Rightarrow \mathcal{T}_X = \Omega_{X/k}^\vee = \mathcal{L}(-K_X)$$



# II - Characterization of Elliptic Curve

## First Chern Class of Curve

Let  $X \subset \mathbb{P}^2$  be a curve and  $\mathcal{E} = \mathcal{L}(D)$  be the invertible sheaf associated to some divisor  $D$

- By definition we have

$$\Omega_{X/k} = \mathcal{L}(K_X) \Rightarrow \mathcal{T}_X = \Omega_{X/k}^\vee = \mathcal{L}(-K_X)$$

- Recall  $c_1(\mathcal{E}^\vee) = -c_1(\mathcal{E})$  for locally free sheaf  $\mathcal{E}$

# II - Characterization of Elliptic Curve

## First Chern Class of Curve

Let  $X \subset \mathbb{P}^2$  be a curve and  $\mathcal{E} = \mathcal{L}(D)$  be the invertible sheaf associated to some divisor  $D$

- By definition we have

$$\Omega_{X/k} = \mathcal{L}(K_X) \Rightarrow \mathcal{T}_X = \Omega_{X/k}^\vee = \mathcal{L}(-K_X)$$

- Recall  $c_1(\mathcal{E}^\vee) = -c_1(\mathcal{E})$  for locally free sheaf  $\mathcal{E}$
- Since  $\dim X = 1$ , have  $\Omega_{X/k} = \omega_X$

## II - Characterization of Elliptic Curve

### First Chern Class of Curve

Then

$$c_1(\mathcal{T}_X) = c_1(\mathcal{L}(-K_X)) = -c_1(\mathcal{L}(K_X)) = -c_1(\omega_X) = -K_X$$

From above (and [1]) we know that

$$K_X = (d - n - 1)\zeta$$

where  $\zeta = c_1(\mathcal{O}_X(1)) \in A^1(X)$  class of hyperplane section.

Then

$$c_1(\mathcal{T}_X) = (n + 1 - d)\zeta$$

I - Canonical  
Bundle

II -  
Characterization  
of Elliptic Curve

**III -  
Riemann-Roch**

IV -  
Riemann-Hurwitz

V - Properties of  
Elliptic Curves

# III - Riemann-Roch

# III - Riemann-Roch

- So far, we have characterized elliptic curves as the simplest members of the broader space of Calabi-Yau schemes.
- There are more specific things we can say about elliptic curves, but we will need to rely heavily on the Riemann-Roch Theorem to prove anything useful (also Riemann-Hurwitz).
- Notation in this section will blend Perrin [4], Gathmann [2] and Hartshorne [3]

# III - Riemann-Roch

## Sheaf Cohomology

As described in [4], taking global sections of the exact sequence of  $\mathcal{O}_X$ -modules

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{G} \rightarrow \mathcal{H} \rightarrow 0$$

yields an exact sequence

$$0 \rightarrow \Gamma(X, \mathcal{F}) \rightarrow \Gamma(X, \mathcal{G}) \xrightarrow{\pi} \Gamma(X, \mathcal{H})$$

where  $\pi$  need not be a surjection.

# III - Riemann-Roch

## Čech complexes

- Given a sheaf  $\mathcal{F}$  on the scheme  $X$  and fixed open cover  $\{U_i\}$ , define an abelian group

$$C^p(\mathcal{F}) = \prod_{i_0 < \dots < i_p} \mathcal{F}(U_{i_0} \cap U_{i_1} \cap \dots \cap U_{i_p})$$

where  $\alpha \in C^p$  is a collection of independent sections  $\alpha_{i_0, \dots, i_p}$  of  $\mathcal{F}$ .

# III - Riemann-Roch

## Čech complexes

- Define a boundary operator  $d^p : C^p \rightarrow C^{p+1}$  composed of the sections

$$(d^p \alpha)_{i_0, i_1, \dots, i_{p+1}} = \sum_{k=0}^{p+1} (-1)^k \alpha_{i_0, \dots, i_{k-1}, i_{k+1}, \dots, i_{p+1}} \Big|_{U_{i_1} \cap U_{i_2} \cap \dots \cap U_{i_{p+1}}}$$

- The  $(-1)^k$  term guarantees that  $d^{p+1} \circ d^p = 0$ , so we know  $\ker(d^{p+1}) \subset \text{im}(d^p)$
- In general, this inclusion is strict, so no exact sequence yet.



# III - Riemann-Roch

## Čech complexes

- We can force the  $d^p$  to form an exact sequence by taking a quotient
- Defining  $H^p(X, \mathcal{F}) = \ker(d^p)/\text{im}(d^{p-1})$  and defining the degenerate cases  $p < 0$  using  $C^p = 0$  and  $d^p = 0$ , we get  $H^0(X, \mathcal{F}) = \Gamma(\mathcal{F})$  and the exact sequence

$$0 \rightarrow \Gamma(\mathcal{F}) \rightarrow \Gamma(\mathcal{G}) \rightarrow \Gamma(\mathcal{H}) \rightarrow H^1(X, \mathcal{F}) \rightarrow H^1(X, \mathcal{G}) \rightarrow \dots$$

(proved by diagram chasing)

- This embeds the exact sequence we wanted in an infinite sequence of unknown terms.

# III - Riemann-Roch

## Additional Remarks

- This construction gives the same result independent of open cover
- Proof idea from §8.5 of [2]
  - First show that affine schemes satisfy  $H^i(X, \mathcal{F}) = 0$  for  $i > 0$

# III - Riemann-Roch

## Additional Remarks

- This construction gives the same result independent of open cover
- Proof idea from §8.5 of [2]
  - First show that affine schemes satisfy  $H^i(X, \mathcal{F}) = 0$  for  $i > 0$
  - The restriction map from  $\tilde{H}^p(X, F)$  defined on the open cover  $\{U_0, U_1, \dots, U_n\}$  to  $H^p(X, F)$  defined on the open cover  $\{U_1, U_2, \dots, U_n\}$  is an isomorphism

# III - Riemann-Roch

## Additional Remarks

- This construction gives the same result independent of open cover
- Proof idea from §8.5 of [2]
  - First show that affine schemes satisfy  $H^i(X, \mathcal{F}) = 0$  for  $i > 0$
  - The restriction map from  $\tilde{H}^p(X, F)$  defined on the open cover  $\{U_0, U_1, \dots, U_n\}$  to  $H^p(X, F)$  defined on the open cover  $\{U_1, U_2, \dots, U_n\}$  is an isomorphism
  - By repeated application of the above, we can add and remove any number of open sets from the cover.

# III - Riemann-Roch

## Motivation

- Since curves have dimension 1, we know that  $\dim_k H^i(X, \mathcal{F}) = 0$  for  $i > 1$  [4]
- To use our long exact sequence, we need some knowledge of  $\dim_k H^1(X, \mathcal{F})$
- Riemann-Roch will help us evaluate the difference  $\dim_k H^0(X, \mathcal{F}) - \dim_k H^1(X, \mathcal{F})$
- We will need some additional knowledge of  $\dim_k H^1(X, \mathcal{F})$  when we apply the formula [2]

# III - Riemann-Roch

## The Riemann-Roch Theorem

If  $C$  is an irreducible projective curve of degree  $d$  and genus  $g$ , we have for all  $n$  the relation of graded components

$$\dim_k H^0(C, \mathcal{O}_C(n)) - \dim_k H^1(C, \mathcal{O}_C(n)) = nd + 1 - g$$

# III - Riemann-Roch

Proof (mostly from [4] VIII.1.5)

- Let  $A = k[X_0, \dots, X_n]/I(C)$  and note that  $A$  has associated sheaf  $\mathcal{O}_C$
- Let  $H$  be some hyperplane not containing  $C$ , and suppose the equation of  $H$  corresponds to  $h \in A$
- Defining  $\phi$  to be multiplication by  $h$ , we get the exact sequence

$$0 \rightarrow A(-1) \xrightarrow{\phi} A \rightarrow A/(h) \rightarrow 0$$

# III - Riemann-Roch

- Mapping this sequence to sheaves and shifting by  $n$ , we get

$$0 \rightarrow \mathcal{O}_C(n-1) \xrightarrow{\phi} \mathcal{O}_C(n) \rightarrow \mathcal{O}_{C \cap H}(n) \rightarrow 0$$

- If we define for convenience

$$\chi(\mathcal{O}_C(n)) = \dim_k H^0(C, \mathcal{O}_C(n)) - \dim_k H^1(C, \mathcal{O}_C(n))$$

our exact sequence gives us the relation

$$\chi(\mathcal{O}_C(n)) = \chi(\mathcal{O}_C(n-1)) + \chi(\mathcal{O}_{C \cap H}(n))$$



# III - Riemann-Roch

- Since  $C$  has dimension 1, the intersection  $C \cap H$  has dimension 0 and consists of finitely many points
- By dimensionality,  $\dim_k H_1(C, \mathcal{O}_C) = 0$  and we know that  $\dim_k H_0(C, \mathcal{O}_C) = \dim_k \Gamma(\mathcal{O}_C) = d$ .
- Simplifying and using induction, we get

$$\chi(\mathcal{O}_C(n)) = \chi(\mathcal{O}_C(n-1)) + d$$

$$\chi(\mathcal{O}_C(n)) = \chi(\mathcal{O}_C) + nd$$

# III - Riemann-Roch

- This leaves the expansion of  $\chi(\mathcal{O}_C)$ .
- We have the identity

$$H^0(C, \mathcal{O}_C) = \Gamma(\mathcal{O}_C) = k$$

since the only functions over all of  $\mathcal{O}_C$  are constant.

- Therefore,  $\dim_k H^0(C, \mathcal{O}_C) = 1$ .

# III - Riemann-Roch

- The last term  $\dim_k H^1(C, \mathcal{O}_C)$  is sometimes used as an alternate definition of the arithmetic genus
- We are used to the arithmetic genus being the constant term of the Hilbert Polynomial.
- To relate these two forms, we start with another form of the Hilbert polynomial  $P(n)$  given in [3]

$$P(n) = \chi(\mathcal{F}(n)) = \sum_i (-1)^i \dim_k H^i(X, \mathcal{F}(n))$$

# III - Riemann-Roch

- The constant term of this expression can be calculated as

$$g = \sum_{i=0}^{r-1} (-1)^i \dim_k H^{r-i}(C, \mathcal{O}_C)$$

- In dimension 1, this simplifies to  $g = H^1(C, \mathcal{O}_X)$
- Combining the terms we have already described, we get

$$\dim_k H^0(C, \mathcal{O}_C(n)) - \dim_k H^1(C, \mathcal{O}_C(n)) = nd + 1 - g$$

# III - Riemann-Roch

## Related Theorems

There are a number of equivalent statements that are commonly associated to Riemann-Roch (most easily proved using Serre duality)

- Let  $K$  be a canonical divisor

$$\dim_k H^0(D) - \dim_k H^0(K - D) = \deg(D) + 1 - g$$

- (Riemann) For large  $n$ , we have

$$\dim_k(C, \mathcal{O}_C(n)) = nd + 1 - g$$

I - Canonical  
Bundle

II -  
Characterization  
of Elliptic Curve

III -  
Riemann-Roch

**IV -  
Rieman-Hurwitz**

V - Properties of  
Elliptic Curves

# IV - Rieman-Hurwitz

# IV - Riemann-Hurwitz

## Motivation

- So far, we have developed tools for understanding the dimension of global sections over sheaves
- Riemann-Hurwitz gives a similar set of tools for individual points through the ramification divisor.

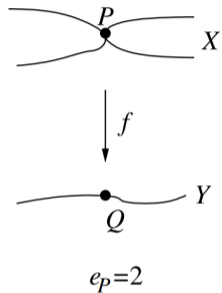
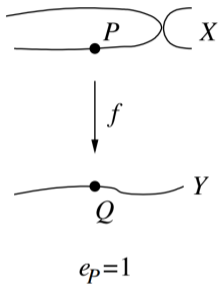
# IV - Riemann-Hurwitz

## Ramification

- Recall that for smooth curves the Picard group of divisors is isomorphic to the set of line bundles  $Pic'$
- Given a smooth map  $f : X \rightarrow Y$  we can define a pullback map on divisors by pulling back the associated line bundles
- Given a point  $P$ , we can treat its image  $f(P)$  as a divisor. This lets us compute the subscheme  $f^{-1}(f(P))$
- The dimension of this subscheme is the ramification index  $e_P$  at  $P$ .



# IV - Riemann-Hurwitz



- A point is unramified if its index is 1, and ramified otherwise.
- We will assume a field of characteristic 0 in this section

# IV - Rieman-Hurwitz

## Ramification Divisor

- Define  $\Omega_{X/Y}$  as before
- The Ramification Divisor is defined to be

$$R = \sum_{P \in X} \text{len}(\Omega_{X/Y})_P \cdot P$$

- We will demonstrate that this formal sum contains ramified points counted with their ramification.

# IV - Riemann-Hurwitz

## Riemann-Hurwitz

(Riemann-Hurwitz) Let  $f : X \rightarrow Y$  be a finite separable morphism of curves and  $n = \deg f$ . Then

$$2g(X) - 2 = n \cdot (2g(Y) - 2) + \deg R$$

Additionally,  $\deg R$  satisfies

$$\deg R = \sum_{P \in X} (e_P - 1)$$

# IV - Riemann-Hurwitz

## Proof idea

- The following sequence is exact

$$0 \rightarrow f^* \Omega_Y \rightarrow \Omega_X \rightarrow \Omega_{X/Y} \rightarrow 0$$

- From this sequence,  $\Omega_{X/Y}$  is supported on the ramification points of  $f$ .

# IV - Rieman-Hurwitz

If  $f$  has ramification index  $e$  at  $P$  we substitute  $t = au^e$  for unit  $a$  and differentiate

$$dt = aeu^{e-1}du + u^e da$$

Finding the highest power with nonzero coefficient gives

$$\text{length}(\Omega_{X/Y})_P = e - 1$$

Note: We just proved the second part of the theorem

# IV - Riemann-Hurwitz

- Let  $K_X, K_Y$  be canonical divisors. Using the same kind of local calculation as before, we can show (as in [2]) that

$$K_X = f^*K_Y + R$$

# IV - Riemann-Hurwitz

- To convert this equation into the first part of the theorem, note by Riemann-Roch that the canonical divisor has degree  $2g - 2$  (substitute  $D = K$  into the alternate form)

$$\dim_k H^0(K) - \dim_k H^0(K - K) = \deg(K) + 1 - g$$

- Noting that  $f^*$  multiplies degrees by  $n = \deg(f)$ , we expand

$$\deg K_X = \deg(f^* K_Y) + \deg(R)$$

$$2g(X) - 2 = n(2g(Y) - 2) + \deg(R)$$

I - Canonical  
Bundle

II -  
Characterization  
of Elliptic Curve

III -  
Riemann-Roch

IV -  
Riemann-Hurwitz

V - Properties of  
Elliptic Curves

# V - Properties of Elliptic Curves



# V - Properties of Elliptic Curves

- So far, we have largely avoided discussing elliptic curves
- The general theorems we have proved can be applied to demonstrate some interesting properties of elliptic curves
- We conclude by showing that the family of elliptic curves over  $\mathbb{P}^n$  is indexed by  $k$

# V - Properties of Elliptic Curves

## The $j$ -invariant

- The canonical parameterization  $\phi : P^1 \rightarrow K$  onto an elliptic curve has ramified points where the curve has branch points.
- Given a branch point  $P_0$ , consider the divisor  $2P_0$ .
- The linear system of equivalent divisors has dimension 1 by Riemann-Roch (alternate form), so it induces a map  $f : X \rightarrow P^1$  with degree 2.
- Applying Riemann-Hurwitz to the map  $f$  gives four ramified points.

I - Canonical  
BundleII -  
Characterization  
of Elliptic CurveIII -  
Riemann-RochIV -  
Riemann-HurwitzV - Properties of  
Elliptic Curves

# V - Properties of Elliptic Curves

## The j-invariant

- We change coordinates to fix  $f(P^1) = \infty$ .
- If the other two points are  $a, b$  we apply the following transformation, which fixes  $\infty$  and sends  $a, b$  to  $0, 1$

$$x' = \frac{x - a}{b - a}$$

# V - Properties of Elliptic Curves

## The $j$ -invariant

- We now have an elliptic curve with branch points  $0, 1, \infty, \lambda$  for some  $\lambda$
- Define a function on  $\lambda$

$$j(\lambda) = 2^8 \frac{(\lambda^2 - \lambda + 1)^3}{\lambda^2(\lambda - 1)^2}$$

- The  $2^8$  is a convenience that produces non-singular values over characteristic 2, and the remaining terms are chosen so  $j$  is an invariant, unique property of the curve  $K$

# V - Properties of Elliptic Curves

## Claim

1. The value  $j$  does not depend on the choice of  $\lambda$  for a given curve  $K$
2. The value  $j$  is unique to a curve  $K$  (two curves are isomorphic iff they have the same  $j$ )
3. The family of elliptic curves covers all possible  $j$

# V - Properties of Elliptic Curves

## Proof Idea

1. Consider two morphisms  $f_1, f_2$ . By diagram chasing, we can find automorphisms  $\tau_1, \tau_2$  so that  $f_1$  and  $\tau_2^{-1}f_2\tau_1$  send the same branch point to infinity.

To check permutations of the other three branch points, we can permute  $0, 1, \lambda$  by  $\sigma$  and find a map  $\phi$  to transform  $\sigma 0, \sigma 1$  back to  $0, 1$ . The values  $\phi(\sigma(\lambda))$  are generated by the actions

$$\lambda \rightarrow 1/\lambda \quad \lambda \rightarrow 1 - \lambda$$

so we check that  $j$  preserves these actions

I - Canonical  
BundleII -  
Characterization  
of Elliptic CurveIII -  
Riemann-RochIV -  
Riemann-HurwitzV - Properties of  
Elliptic Curves

# V - Properties of Elliptic Curves

- 3 Given a  $j' \in K$ , we can solve the original equation to find a value of  $\lambda$  with  $j(\lambda) = j'$ . The equation  $y^2 = x(x-1)(x-\lambda)$  is an elliptic curve with  $j(\lambda) = j'$

# V - Properties of Elliptic Curves

2 We proceed by proving an important lemma that will render the original assertion trivial.

Lemma: Fix a branch point  $P_0$ . There is a closed immersion  $K \rightarrow \mathbb{P}^2$  whose image is

$$y^2 = x(x - 1)(x - \lambda)$$

This map sends  $P_0$  to infinity, and this  $\lambda$  is the same as before up to the transformation  $\phi \circ \sigma$  described earlier.



# V - Properties of Elliptic Curves

## Proof of Lemma

- We start by generating a map from the closed immersion sending the set of divisors equivalent to  $3P_0$  to  $P^2$  (this has dimension 2 by Riemann-Roch)
- We also know by the alternate form of Riemann-Roch that  $\dim H^0(\mathcal{O}(nP_0)) = n$  (taking  $nP_0$  as a divisor).
- Considering the inclusion

$$H^0(\mathcal{O}(2P_0)) \subset H^0(\mathcal{O}(3P_0)) \subset H^0(\mathcal{O}(6P_0))$$

we can choose  $x, y$  so  $1, x$  is a basis for  $H^0(\mathcal{O}(2P_0))$  and  $1, x, y$  is a basis for  $H^0(\mathcal{O}(3P_0))$

I - Canonical  
BundleII -  
Characterization  
of Elliptic CurveIII -  
Riemann-RochIV -  
Riemann-HurwitzV - Properties of  
Elliptic Curves

# V - Properties of Elliptic Curves

- The monomials that can show up in an elliptic curve are  $1, x, y, x^2, xy, x^3, y^2$  and are all contained in  $H^0(\mathcal{O}(6P_0))$ , so they cannot be linearly independent
- Our monomials only describe an elliptic curve when  $x^3, y^2$  both appear with nonzero coefficient, and we can scale the coordinate system so both have coefficient 1.
- Writing down an arbitrary linear dependence and completing the square gives

$$y^2 = (x - a)(x - b)(x - c)$$

# V - Properties of Elliptic Curves

- We can apply the same linear transformation used to derive  $j$  to send  $a, b$  to  $0, 1$ . This gives the final result

$$y^2 = x(x - 1)(x - \lambda)$$

- Both curves have a pole at  $P_0$  by construction, which is sent to  $\infty$ .
- Projecting from  $P_0$  to the  $x$ -axis gives a morphism sending  $P_0$  to infinity and ramified at the points  $0, 1, \lambda, \infty$ , so  $\lambda$  is one of the branch points in our other derivation.

# V - Properties of Elliptic Curves

## Using the Lemma

Finishing our proof of (2):

- $j$  is a rational function of degree 6 which induces a map  $\lambda \rightarrow j$  of degree 6.
- This covering is Galois because the functional spaces have automorphism group  $S_3$ .
  - We already noted that specific elements of the automorphism group correspond to permutations of the finite branch points.
- Therefore,  $j(\lambda) = j(\lambda')$  iff  $\lambda, \lambda'$  are related by an automorphism and the proof is complete.



David Eisenbud and Joe Harris.

*3264 & All That Intersection Theory in Algebraic Geometry.*

Cambridge: Cambridge University Press, 2016.



Andreas Gathmann.

*Algebraic Geometry.*

University of Kaiserslautern, 2002.



Robin Hartshorne.

*Algebraic Geometry.*

Springer-Verlag New York, 1977.



Daniel Perrin.

*Algebraic geometry: an introduction.*

Springer Science & Business Media, 2007.